

Section
\$ #3

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/945,551	
	Filing Date	September 2, 2001	
	First Named Inventor	Markus BAUMANN	
	Group Art Unit		
	Examiner Name		
Total Number of Pages in This Submission	81	Attorney Docket Number	GS 0443 A US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (1) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition (for Extension of Time) <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Declaration Letter Transmitting Translation Receipt Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Alfred J. Mangels, Reg. No. 22,605
Signature	
Date	1/11/02

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 1/11/02			
Typed or printed name	Alfred J. Mangels		
Signature		Date	1/11/02

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#3



UNITED STATES PATENT AND TRADEMARK OFFICE



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/945,551	09/02/2001	Markus Baumann	GS 0443 A US

CONFIRMATION NO. 1933

FORMALITIES LETTER



OC000000006882233

Alfred J. Mangels
4729 Cornell Road
Cincinnati, OH 45241-2433

Date Mailed: 10/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

01/29/2002 HTECKLU1 00000087 501300 09945551

01 FC:105 130.00 CH

02/22/2002 SSALEEKU 00000005 501300 09945551

01 FC:101 710.00 CH

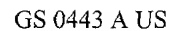
*A copy of this notice **MUST** be returned with the reply.*

02/01/2002 HTECKLU1 00000001 501300 09945551

01 FC:139 130.00 CH

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PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Markus BAUMANN et al.

Serial No.: 09/945,551

Filed: September 2, 2001

For: PLATE-LINK CHAIN

Group Art Unit

Examiner:

LETTER TRANSMITTING TRANSLATION

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Attached hereto is an English-language translation of the originally-filed German language application filed on September 2, 2001, as Serial No. 09/945,551. The attached translation is an accurate translation into English of the originally-filed German-language document, and applicants request that the translation be used as the copy of the application for examination purposes. No new matter has been added to the translation.

Although the enclosed translation includes claims 4 through 6 of the German-language application as it was filed, those claims were cancelled without prejudice or disclaimer in the Preliminary Amendment that was filed herein on September 2, 2001. The cancellation was for the sole purpose of eliminating multiple claim dependency to reduce the filing fee. Amended and additional claims will be filed subsequently.

Respectfully submitted,

January 11, 2002

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